



Our Reference: 2076

Patent

3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Ovshinsky, et al.

Serial Number: 10/043,010

Filing Date: January 11, 2002

Examiner/Group Art Unit: Unknown/Unknown

Title: **FOUNTAIN CATHODE FOR LARGE AREA PLASMA DEPOSITION**

Assistant Commissioner for Patents
Washington, DC 20231

Preliminary Amendment

Sir:

Preliminary to examination of the instant patent application, please amend the specification as follows:

Please insert the following paragraph on page 1 of the application after the Title of the invention:

This invention was made with Government support under NREL Subcontract No. ZAX-8-17647-09, prime contract DE-AC36-99GO10337 awarded by the Department of Energy. The Government has certain rights in this invention.



0300
0300

PTO/SB/21 (03-03)

Approved for use through 04/30/2003. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10/043,010
Filing Date	January 11, 2002
First Named Inventor	Ovshinsky, et al
Art Unit	Unknown/Unknown
Examiner Name	

Total Number of Pages in This Submission

3

Attorney Docket Number

2076

ENCLOSURES (Check all that apply)

- Fee Transmittal Form
- Fee Attached
- Amendment/Reply
 - After Final
 - Affidavits/declaration(s)
- Extension of Time Request
- Express Abandonment Request
- Information Disclosure Statement
- Certified Copy of Priority Document(s)
- Response to Missing Parts/Incomplete Application
- Response to Missing Parts under 37 CFR 1.52 or 1.53

- Drawing(s)
- Licensing-related Papers
- Petition
- Petition to Convert to a Provisional Application
- Power of Attorney, Revocation
- Change of Correspondence Address
- Terminal Disclaimer
- Request for Refund
- CD, Number of CD(s) _____

Remarks

- After Allowance Communication to a Technology Center (TC)
- Appeal Communication to Board of Appeals and Interferences
- Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
- Proprietary Information
- Status Letter
- Other Enclosure(s) (please identify below): Postcard

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual	David W. Schumaker	Reg. No. 35,017
Signature		
Date	8/4/03	

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: August 4, 2003

Typed or printed Darla Guerin-Sexauer

Signature Date August 4, 2003

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.